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A Prospective Outlook on the Development of Exoskeletal Knee Joints for Prostheses via a Design Concept Evaluation Approach

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ABSTRACT

Disability is a complex multidimensional challenge and it can substantially limit the major life activities of a person. In the Kingdom of Saudi Arabia, it is estimated that 3.73% of the population have functional disabilities. People with disability always stay in the same posture which limits their social independence. A brief introduction and a literature review about exoskeletal prostheses and a preliminary analysis of the biomechanics of passive knees are presented in this paper. The proposed knee joint design has key features that are similar to other currently available knee joints for prosthetic legs. The main components of our design include single-axis knee joints, legs, and sockets. We limited our investigation to a handful of biomechanical variables that can be easily evaluated and generate some targets for long-term rehabilitation research. Thus, by following the cognitive principle of engineering design methodology, i.e. the "weight and rate criterion," we provide a preliminary concept and theoretical calculations for the development of a cost-effective knee joint system. The Ansys engineering simulation and three-dimensional design software such as SOLIDWORKS simulation were utilized to provide an outlook of our initial prototype. In addition, by subjective and objective approaches, from our theoretical calculation for our designed product, the factor of safety was determined to be higher than 1.5.

KEYWORDS

exoskeletal implant, preliminary study, theoretical calculations, factor of safety

INTRODUCTION

The loss of a body part has been witnessed from the beginning of the ages in humans, and this happens due to several reasons including diseases, accidents, and wars, and the cost of an artificial implant is very high at the moment for some members in the community. In injured patients, the lack of mobility for a long time can cause several medical and psychological issues. Patients around the world are now requiring implants that can last longer and provide less discomfort but at a reduced cost. The most comprehensive study found was the "Disability Survey 2017" report published by the General Authority for Statistics (GAStat) of Saudi Arabia in 2017. The GAStat surveyed a random sample of 33,575 households in a way that guarantees representation nationwide in 2017 to determine the demographics of people with physical and intellectual disability across Saudi Arabia [Putti, 1930; Miller, 1946; Engstrom and Van de Ven 1999; Ebnezar, 2000; Andrysek, 2010; May and Lockard 2011; Bindawas and Vennu, 2018]. According to the report, 1.6% of people in Saudi Arabia have extreme locomotor disability. Few studies have been published on the demographics of amputees in Saudi Arabia. Nowadays many artificial lower limbs are available on the market, and each one of them uses different mechanisms and materials, and the main factor between these artificial limbs is the mechanism of the knee joint, which are summarized in Table 1. Human movement is a biomechanically efficient process. A healthy person may travel vast distances while consuming little energy. Despite advancements in prosthetic design, replacing lower-limb segments with a prosthesis reduces mobility efficiency. The goal of a lower-limb prosthesis is to reduce the impact of the amputation and restore the patient's independence. Hence, the prosthesis technology focuses on simulating the joint behavior of human lower limbs when walking. Therefore, understanding the gait cycle and the resulting mechanical

Cost

75 SR

\$20

Name Specifications Туре LIMBS Knee [Narang 2013] Light weight Four bar No advance technology Short-life material Low stability

Table 1:	Summar	/ of the	different types	of knee	joints	available o	ו the	market
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The 802 Nylon Knee [Arelekatti 2015]	 Light weight No advance technology Handle weight up to 100 kg Low maintenance and durability 	Single axis Hydraulic	\$2100 7875 SR
C-leg [Kannenberg 2014]	 Highly stable Advance technology Handle weight up to 130 kg Batteries live up 45 hours for one charge 	Single axis Microprocessor hydraulic	\$54,500 204,375 SR
Genium [Farina and Aszmann 2014]	 Light weight Advance technology Handle weight up to 150 kg Batteries live up 120 hours for one charge 	Single axis Microprocessor hydraulic	\$75,000 281,250 SR

forces that impact the whole cycle is crucial for designing exoskeletal devices. For people with transfemoral amputations, prosthetic knees are cutting-edge medical devices that use mechanical mechanisms and components to imitate the natural biological knee function [Preuss, 1911; American Academy of Orthopaedic Surgeons, 1960; Fliegel and Feuer, 1966; Padula and Friedmann, 1987; Hibbeler 1994; Shigley et al., 2004; Lambrecht 2008; Narang, 2013; Rodriguez-Merchan 2013; Bergmann et al., 2014; Kannenberg et al., 2014; Arelekatti, 2015; Pirker and Katzenschlager 2017]. By presenting a biomechanical overview of prosthetic knees, this article seeks to fill in this knowledge gap.

METHODS

This study presents an outlook on the designing of kneerelated passive implants. The research methodology is subdivided into various categories. A schematic diagram explicitly indicating the outline of the sequence of steps that are followed for executing the present study is shown

in Figure 1. In this paper, the salient results revealing the design of a knee implant according to durable biomechanical requirements are discussed. Furthermore, theoretical calculation encompassing the various structural components is also included. Also, we present ideas about three general trends in the current and future development of prosthetic knees in terms of the design project approach.

RESULTS AND DISCUSSION

Equilibrium analysis and theoretical design calculations

For our study, we defined the maximum body weight as 100 kg. From the literature it is known that the maximum force acting on the knee during walking (gait cycle 55%, knee flexion angle 20°) is around $F_z = -3571$ N. This shows that the forces on the other direction are very small compared to the force on the z direction as summarized in Table 2. In order to find out the amount of forces acting on the device,



Figure 1: Flow chart showing the sequence of steps involved in the present design project.

we divided the external load by 2, one for each side of the knee, and the free body diagram (FBD) of each section is presented in Figures 2-6, respectively.

$$F_z = \frac{3571}{2} = 1785.5$$
 N

 $\Sigma E = 0$

From FBD-1:

$$\sum F_z = 0$$

$$F_{LZ} = F_z = 1785.5 \text{ N}$$

$$\sum M_y \text{ at Point } L = 0$$

$$M_L = F_z \times \left(\frac{4.32}{1000}\right)$$

From FBD-2:

 $\sum M_{y}$ at Point A = 0

$$F_{SpringZ} \times \frac{57}{1000} + M_{plate} = F_z \times \frac{21.17}{1000}$$
$$\Sigma F_z = 0$$
$$F_z = F_{SpringZ} + F_{platez}$$

From FBD-3:

$$M_{plate} = F_{platez} \times \frac{12.7}{1000}$$

From FBD-4:

$$\sum F_x = 0$$

$$F_{plateX} = 0$$

Figures 7 and 8 summarize the force versus displacement and moment versus displacement charts, respectively.

Here, at the middle of the bar, we will have the critical point:

$$T_{max} = 18.340 \text{ kN} - \text{mm}, M_{max} = 33.42735 \text{ kN} - \text{mm}$$

From the literature it is known that the load fluctuates from nearly 0 N to a maximum of 3571 N; so, we assumed that the force is between 0 load and 3571 N (repeated stress) to continue further analysis.

 Table 2: Summary of loads acting on knees and their equilibrium reactions.

Type of load Unit	Forces Newton					Moments Newton-meter	
Name	Fz	F _{LZ}	F _{plateX}	F _{platez}	F _{SpringZ}	M	$M_{_{plate}}$
Value for one side	1785.5	1785.5	0	1444	341.4	7.713	18.34
Total value	3571	3571	0	1444	682.8	15.426	18.34



Figure 2: FBD-1 for the knee section. Abbreviation: FBD, free body diagram.



Figure 3: FBD-2 for the upper connector section. Abbreviation: FBD, free body diagram.

For the bar material, we chose 1030 hot rolled steel, which has the following specifications [Ijaz et al., 2016]:

$$S_{ut} = 470 \text{ MPa}, S_v = 260 \text{ MPa}$$

We have no change in cross sections; therefore, we assume that any stress concentration factor = 0.

Endurance limit S_e calculations:

d = 12 mm

$$I = \frac{\pi}{64} \times d^4 = \frac{\pi}{64} \times \frac{12^4}{1000^4} = 1.018 \times 10^{-9} \,\mathrm{m}^4$$

$$J = \frac{\pi}{2}r^4 = 2.035 \times 10^{-9}\,\mathrm{m}^4$$

Non-rotating :
$$d_e = 0.37d = 0.37 \times 12 = 4.44$$
 mm
 $K_b = 1.24 \ d^{-0.107} = 1.24 \times 12^{-0.107} = 1.057$
 $K_a = a \ S_{ut}^b = 4.51 \times 290^{-0.265} = 1.004$
 $K_c = K_d = K_e = k_f = 1$
 $S'_e = 0.5S_{ut} = 0.5 \times 470 = 235$ MPa

$$S_e = S'_e K_a K_b K_c K_d K_e K_f = 249.38 \text{ MPa}$$

Stress calculations:

$$\sigma_{min} = 0$$



Figure 4: FBD-3 for the plate section. Abbreviation: FBD, free body diagram.



Figure 5: FBD-4 for the lower connector section. Abbreviation: FBD, free body diagram.



Figure 6: FBD-5 for the spring connector section. Abbreviation: FBD, free body diagram.



Figure 7: Force vs. displacement chart.

$$\sigma_{max} = \frac{M_{max}c}{I} = \frac{33.42735 \times \frac{6}{1000}}{1.018 \times 10^{-9}} = 196.98 \text{ MPa}$$

$$\tau_{max} = \frac{T_{max} c}{J} = \frac{18.34 \times \frac{6}{1000}}{2.035 \times 10^{-9}} = 54.07 \text{ MPa}$$

$$\sigma'_{max} = \sqrt{(\sigma_{max})^2 + 3(\tau_{max})^2} = (218.1096) \text{ MPa}$$

$$\sigma_a' = \frac{\sigma_{max}'}{2} = 109.05 \text{ MPa}$$

$$\sigma'_m = \frac{\sigma'_{max}}{2} = 109.05 \text{ MPa}$$

Fatigue factor of safety
$$n_f: \frac{1}{n_f} = \frac{\sigma_a'}{S_e} + \frac{\sigma_m'}{S_{ut}} \rightarrow n_f = 1.59 > 1$$

Static factor of safety
$$n_y$$
: $n_y = \frac{S_y}{\sigma'_a + \sigma'_m} = 2.19 > 1$

Endurance limit calculation for the rod used for constructing the leg

For the leg rod material, we chose Al-6061 rolled, which has the following specifications [Ijaz et al., 2016]:

$$S_{ut} = 290 \text{ MPa}, S_y = 255 \text{ MPa}$$

We have no change in cross sections; therefore, we assume that any stress concentration factor = 0.

Journal of Disability Research 2023



Figure 8: Moment vs. displacement chart.

Endurance limit S_e calculations: For aluminum Al-T6061:

 $\sigma_{y} = 255 \text{ MPa}; E = 68.9 \text{ GPa}$

For the rod:

$$D = 0.0281 \text{ m}; L = 0.4318 \text{ m}; K = 1$$

Effective length factor:

$$L_{e} = K \times L = 0.4318 \text{ m}$$

The moments of inertia for the leg:

$$I = \frac{\pi}{64} (0.0281^4 - 0.01905^4) = 2.41 \times 10^{-8} \text{ m}^4$$

The area of the cross section:

$$A = \frac{\pi}{4} (0.0281^2 - 0.01905^2) = 3.35 \times 10^{-4} \,\mathrm{m}^2$$

Non-rotating : $d_e = 0.37d = 0.37 \times 0.0381 = 0.0141$ m

$$K_{b} = 1.24 \ d^{-0.107} = 1.24 \times 14.1^{-0.107} = 0.93$$
$$K_{a} = a \ S_{ut}^{b} = 4.51 \times 290^{-0.265} = 1.004$$
$$K_{c} = K_{d} = K_{e} = k_{f} = 1$$
$$S_{e}' = 0.5S_{ut} = 0.5 \times 290 = 145 \text{ MPa}$$
$$S_{e} = S_{e}' \ K_{a} K_{b} K_{c} K_{d} K_{e} K_{f} = 136 \text{ MPa}$$

$$\sigma_{min} = 0$$

 $M_L = M_{max} = 15.426$ MPa

$$\sigma_{max} = \frac{M_{max}c}{I} + \frac{F_{Lz}}{A} = \frac{15.426 \times \frac{19.05}{1000}}{2.41 \times 10^{-8}} + \frac{3571}{3.35 \times 10^{-4}}$$

= 19.65 MPa

$$\sigma'_{max} = \sqrt{\left(\sigma_{max}\right)^2 + 3\left(\tau_{max}\right)^2} = 19.65 \text{ MPa}$$
$$\sigma'_a = \frac{\sigma'_{max}}{2} = 9.83 \text{ MPa}$$
$$\sigma'_m = \frac{\sigma'_{max}}{2} = 9.83 \text{ MPa}$$

Fatigue factor of safety
$$n_f: \frac{1}{n_f} = \frac{\sigma'_a}{S_e} + \frac{\sigma'_m}{S_{ut}} \rightarrow n_f = 9.42 > 1$$

Static factor of safety
$$n_y$$
: $n_y = \frac{S_y}{\sigma'_a + \sigma'_m} = 12.97 > 1$

Buckling analysis of prosthetic pylon tubes

Buckling is considered as the common mode of failure in the prosthetic pylon tube materials such as aluminum Al-T6061 [27].

$$\sigma_y = 255 \text{ MPa}; E = 68.9 \text{ GPa}$$

For the rod:

$$D = 0.0281 \text{ m}; L = 0.4318 \text{ m}; K = 1$$

Effective length factor:

$$L_e = K \times L = 0.4318 \text{ m}$$

The moments of inertia for the leg:

$$I = \frac{\pi}{64} (0.0281^4 - 0.01905^4) = 2.41 \times 10^{-8} \text{ m}^4$$

The area of the cross section:

$$A = \frac{\pi}{4} (0.0281^2 - 0.01905^2) = 3.35 \times 10^{-4} \text{ m}^2$$

Euler's formula:

$$P_{cr} = \frac{\pi^2 E I}{L_e^2} = \frac{\pi^2 \times 68.9 \times 10^9 \times 2.41 \times 10^{-8}}{0.4318^2} = 87896.32 \text{ N}$$
$$\sigma_{cr} = \frac{P_{cr}}{A} = \frac{87896.32}{3.35 \times 10^{-4}} = 262.38 \text{ MPa}$$
$$\sigma_{allow} = \left[212 - 1.59 \left(\frac{KL}{r}\right) \right] = 131.03 \text{ MPa}$$
$$\sigma_{allow} \langle \sigma_{cr} ; n = 2.2 \rangle 1$$

Static analyses of helical spring design

In this section, we will cover the design approach for the helical spring; there is a systematic method of designing starting with the number of constraints:

The preferred range of the spring index is $4 \le C \le 12$, with the lower indexes being more difficult to form (due to the risk of surface cracking) and springs with higher indexes tending to tangle often enough to require individual packing. This can be the first item for the design assessment. The recommended range of active turns is $3 \le N_a \le 15$. To maintain linearity, when a spring is about to close, it is necessary to avoid the gradual touching of coils (due to no perfect pitch). The rest of the constraints are stated below [Ijaz et al., 2016]:

$$D = d_{rod} + d + allow$$

$$4 \le C \le 12$$

$$3 \le N_a \le 15$$

$$\xi \ge 0.15$$

$$n_a \ge 1.2$$

Design assumptions

After a number of iterations, the best design dimensions are stated below:

$$d = 2 \text{ mm}$$

 $D = 8.55 \text{ mm}$
 $N_a = 15 \text{ coils}$
 $L_a = 58 \text{ mm}$

After determining the primary dimensions, we performed the spring design calculations using the following equations:

$$C = \frac{D}{d} = 4.275$$

$$K_{B} = \frac{4C+2}{4C-3} = 1.354$$
8K (1+5) E D

$$\tau_s = \frac{8K_B(1+\xi)F_{max}}{(\pi d)^3} = 293.17 \text{ MPa}$$

A helical compression spring is made of no. 30 music wire.

$$S_{ut} = \frac{A}{d^m} = \frac{2211}{2^{0.145}} = 1999.58$$
 MPa

$$S_{yy} = 0.45S_{yt} = 0.45(1999.58) = 899.81 \text{ MPa}$$

Factor of safety
$$n_s = \frac{S_{sy}}{\tau_s} = 3.069$$

 $OD = D + d = 10.55 \text{ mm}$
 $ID = D - d = 6.55 \text{ mm}$
 $N_a = 15$
 $y_{max} = \frac{N_a \cdot 8D^3 F_{max}}{Gd^4} = 39.51 \text{ mm}$
 $N_t = 10 \text{ total turns}$
 $L_s = d(N_t + 1) = 32$

$$(L_{ocr}) = L_s + (1 + 0.15) y_{max} = 77.43$$

Using these equations, we determined the important factors such as spring rate k, the maximum applicable force on the spring F_{max} , maximum spring displacement, maximum sheer stress, and the static factor of safety (FOS) against failure.



Figure 9: SW external load and reactions. Abbreviation: SW, SOLIDWORKS.

Spring fatigue analysis

Assumption unpeened spring ($S_{sa} = 241 \text{ MPa } S_{sm} = 379 \text{ MPa}$)

$$F_a = F_m = \frac{F_{max}}{2} = 341.4 \text{ N}$$

$$\tau_a = \tau_m = \frac{8K_B F_a. D}{(\pi d)^3} = 127.46 \text{ MPa}$$

$$S_{ut} = 1999.58$$
 MPa

$$S_{su} = 0.67S_{ut} = 1339.71 \text{ MPa}$$

 $S_{se} = \frac{S_{sa}}{1 - \left(\frac{S_{sm}}{S_{su}}\right)^2} = 261.96 \text{ MPa}$
 $n_f = \frac{S_{sa}}{\tau_a} = 1.89$

Fatigue factor using Goodman: $\frac{1}{n_f} = \frac{\tau_a}{S_{se}} + \frac{\tau_m}{S_{su}}$ $\therefore n_f = 1.719$

The results show that the spring can have infinite lives against fatigue.

INTEGRATION OF SOLIDWORKS SIMULATION AND CALCULATIONS

As we deduced from the calculation, the critical point will happen at gait cycle 55%, knee angle 20°, and $F_z = -3571$ N. So, we studied this point in detail. The simulation results are summarized for each component and are shown in Figures 9-13, respectively.

Knee

So, the FOS of the spring [Shigley et al., 2004] is:

$$n_s = \frac{S_y}{\sigma_{spring max}} = \frac{899 \text{ MPa}}{197.8 \text{ MPa}} = 4.55 > 1$$

So, the FOS of the knee is:

$$n_s = \frac{S_y}{\sigma_{transport}} = \frac{255 \text{ MPa}}{140 \text{ MPa}} = 1.82 > 1$$

The minimum FOS = 1.5 and it satisfies our specification.



Figure 10: SW knee mesh. Abbreviation: SW, SOLIDWORKS.



Figure 11: SW knee displacement results. Abbreviation: SW, SOLIDWORKS.



Figure 12: SW knee strain results. Abbreviation: SW, SOLIDWORKS.



Figure 13: SW knee von Mises stress results. Abbreviation: SW, SOLIDWORKS.



Figure 14: Steps for Ansys simulation and analysis.

Ansys analysis

Analysis for knee

To study the knee joint in Ansys, we created a simplified two-dimensional (2D) geometry that considers the links between the parts with the cross-section area of each component.

Figure 14 presents the typical steps involved in Ansys simulations. Step (1) represents our geometry by simplifying the parts into 2D links. Step (2) represents the cross section of each part. Step (3) represents the connections between the links and which connection is fixed and which one is revolute. Step (4) represents the default mesh. Step (5) represents the external force acting on the upper connector of the knee.

To obtain a reasonable result, we need to perform a mesh independence study to check if the results are independent of the mesh or not; this is done by running multiple simulations with different meshes and checking if the results change.

Figure 15 presents the average combined stress on the y-axis with increases in the number of elements on the x-axis. After 400 elements we can see that the line reaches an almost steady state, and changes in the output average combined stress become very small. Therefore, it is reasonable to take 480 elements.

So the FOS [Shigley et al., 2004] of the spring is:

$$n_s = \frac{S_y}{\sigma_{max}} = \frac{899 \text{ MPa}}{200 \text{ MPa}} = 4.495 > 1$$

which is pretty similar to the spring n_s of the SOLIDWORKS (SW) and the theoretical part. And due to the simplification of the body, there was no stress concentration for the thread. Therefore, we are taking into account only the FOS of the spring for this Ansys module.



Figure 15: Knee mesh independence chart.



Figure 16: Summary of the factor of safety of each component. Abbreviation: SW, SOLIDWORKS.

Validation of the factor of safety

These static FOSs are high and we can change the material for the static load. But, changing it to a cheaper material would affect the fatigue FOS, which barely met our specification. As our goal was to use a material with high strength and high stiffness, this material is sufficient for our application. As the two bars have the same boundary conditions, external forces, geometries, and material, calculating one of them is enough. Both the FOSs are acceptable according to our constraints. As the FOS is too high for the static and the fatigue, our

Customized design of the exoskeleton after manufacturing

material has a specification that exceeds the requirement. Therefore, we can adjust the area, but the height must be the same due to two reasons. One is that the buckling FOS is 2 and it depends on the height. Therefore, changing the height may result in an FOS less than 1.5. The other reason is that the height of the leg depends on the human body dimensions. So, if we change it, there will be no balance and the user will fall. The summary of the FOS for each component of the implant is presented in Figure 16. The complete customized initial design is shown in Figures 17 and 18, respectively.



Figure 17: Knee joint prototype.



Figure 18: Initial design of the prototype.

COST ANALYSIS

Material cost

The material cost of each part of the assembly as show in in figure as shown in Figures 17 and 18 respectively is estimated to be around 400 SR. The summary of the cost distribution for each component is presented in Table 3. The Al alloy or Ti-based alloys could be promising candidates for the manufacturing of these kind of devices [Jiaz et al., 2022, 2014, 2016, 2017; Ijaz and Hashmi 2022; Héraud et al., 2023].

CONCLUSION

In the present study, we concluded that our preliminary design could assist in solving problems that our community is facing in terms of the higher cost of artificial implants such as artificial limbs. We tried investigating the reason for

Table 3: Part unit cost vs. receipt cost.

Part	Quantity	Cost
	2	18.79\$ 70.50 SR
Plates		
	1	16.38\$ 61.50 SR
Upper connector		
	1	17.45\$ 65.50 SR
Lower connector		
	1	10.49\$ 40 SR
Spring connector		
Ullulululul	1	3.21\$ 12 SR

Spring

Table 3: Continued

Part	Quantity	Cost
	2	6.40\$ 24 SR
Plates rod		
	1	2.84\$ 11 SR
Spring connector rod		
	1	30.59\$ 115 SR
Leg rod		

the higher cost while looking at the existing products on the market, and we figured out that most of the products were designed using chips and microprocessors, which are good but they simultaneously increase the cost. To conclude, a summary of the present study is as follows:

- 1. We decided to design and fabricate an indigenous cost-effective artificial lower limb, following some constraints and criteria and the weight and rate methodology.
- We started our project by following the selection methodology until we came up with our final knee joint design which includes a single axis with a spring connecting to a leg rod.
- 3. Using our knowledge from mechanical engineering, vital mathematical calculations were made to validate the FOS for our designed product.
- 4. Ansys analysis and SW simulation were utilized to quantify the FOS and make sure it is higher than that we initially considered in the constraints. We proved that from all the methods our device is safe and the FOS is more than 1.5.
- 5. After finalizing the design, we started choosing the material for the different parts of our product. As this designed

knee implant will be in contact with the human body, we made sure that the material is biocompatible. Thus, we chose aluminum Al-6061 for the other parts of the knee joint and the leg rod.

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